

# Certificate



## Cyber Security Management

**CS Management (TÜV Rheinland)**  
IEC 62443-4-1:2018 (Edition 1.0) - Product Supplier  
CSM 100, Maturity Level 3: Defined - Practiced

**Certificate No.** 968/CSM 100.03/21

**Certified Company & Location**  
Rockwell Automation, Inc.  
1201 South Second Street  
Milwaukee, WI 53204  
USA

**Rockwell  
Automation**

**Scope of Certification** **Centralized Group Certification**  
**for Product Supplier, related to IEC 62443-4-1:2018 (Edition 1.0)**  
**Security for Industrial Automation and Control Systems**  
**Part 4-1: Secure Product Development Lifecycle Requirements**

Details and limitations regarding **Technical Scope and Local Scope of Certification** of committed Company Units are listed in attached **Certificate Appendix 968/CSM 100.03/21**, which forms integral part of this certificate.

The certified company and its **committed company locations** as listed in attached **Certificate Appendix** have successfully demonstrated during an audit process that a **Security Development Lifecycle Management System** which cover the development of security related components and systems has been implemented and applied.

This CSM Certification only refers to the listed company location and their involved departments, which comply with the organizational CSM requirements for the listed Scope of Certification. In extension, development activities can be taken over by local teams which are deployed at further locations and are under the responsibility of the Office of Product Safety and Security.

This certificate does not imply approval or certification for specific security related developments of products.

**Validity** This certificate is valid until 2022-11-29

**TÜV Rheinland Industrie Service GmbH**  
Bereich Automation  
Funktionale Sicherheit  
Am Grauen Stein, 51105 Köln

Cologne, 2021-01-22

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Industrie Service GmbH  
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Certification Body Safety & Security for Automation & Grid  
Further information referring to the scope of certification, see <http://www.tvasi.com>

## Certificate Appendix

This appendix forms integral part of Certificate No. 968/CSM 100.03/21, dated 2021-01-22

<b>Certificate Holder, Legal Responsibility</b>	<b>Rockwell Automation, Inc.</b> 1201 South Second Street Milwaukee, Wisconsin, 53204 USA
<b>Overall CSM Responsibility</b>	Office of Product Safety and Security

### Details and limitations regarding the Local Scope of Certification \*:

This CSM Certification only refers to company locations, as listed below, and their involved departments, which comply with the organizational CSM requirements for the considered Scope of Certification.

### Details and limitations regarding the Technical Scope of Certification \*\*::

This CSM Certification is related for Industrial Automation and Control Systems, **limited to** the security development lifecycle management system, covering the development of security related components and systems according to **IEC 62443-4-1**.

### Levels of Certification \*\*\*:

Regarding the definition of **Maturity Levels** see latest **Certification Regulation** or information published on <https://www.certipedia.com/fs-products>.

Country	*Local Scope of Certification	**Technical Scope of Certification	***ML-3
USA	<u>CVB Embedded Software Team</u> 1201 South Second Street Milwaukee 53204, Wisconsin	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation	3
	<u>CVB Embedded Software Team</u> 1 Allen-Bradley Drive Mayfield Heights 44124, Ohio	Practice 5: Security Verification and Validation Testing Practice 6: Management of Security-related Issues Practice 7: Security Update Management Practice 8: Security Guidelines	
Singapore	<u>CVB Embedded Software Team</u> 2 Corporation Road #06-05/10 Corporation Place, Singapore	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 7: Security Update Management	3
USA	<u>Shared Services - Information Technology Team</u> 1201 South Second Street Milwaukee 53204, Wisconsin	Practice 1: Security Management - SM-7: Development environment security - SM-8: Controls for private keys	3

Country	*Local Scope of Certification	**Technical Scope of Certification	***ML-3
	<u>Shared Services - Human Resources</u> 1201 South Second Street Milwaukee 53204, Wisconsin	Practice 1: Security Management - SM-4: Security expertise	3
	<u>Shared Services - Product and Supplier Quality Team</u> 1201 South Second Street Milwaukee 53204, Wisconsin	Practice 1: Security Management - SM-9: Security requirements for externally provided components - SM-10: Custom developed components from third-party suppliers	3
	<u>Shared Services - Strategic Sourcing Team</u> 1201 South Second Street Milwaukee 53204, Wisconsin	Practice 1: Security Management - SM-9: Security requirements for externally provided components - SM-10: Custom developed components from third-party suppliers	3
	<u>Shared Services - Technical Communications</u> 1 Allen-Bradley Drive Mayfield Heights 44124, Ohio	Practice 7: Security update management - SUM-2: Security update documentation - SUM-3: Dependent component or operating system security update documentation  Practice 8: Security User Documentation - SG-1: Product defense in depth - SG-2: Defense in depth measures expected in the environment - SG-3: Security hardening guidelines - SG-4: Secure disposal guidelines - SG-5: Secure Operation guidelines - SG-6: Account management guidelines - SG-7: Documentation review	3
	<u>Shared Services - Security Incident Response</u> 1 Allen-Bradley Drive Mayfield Heights 44124, Ohio	Practice 1: Security Management - SM-11: Assessing and Addressing security-related issues  Practice 6: Management of security-related issues - DM-1: Receiving notifications of security-related issues - DM-2: Reviewing security-related issues - DM-3: Assessing security-related issues - DM-4: Addressing security-related issues - DM-5: Disclosing security-related issues - DM-6: Periodic review of security defect management practice  Practice 7: Security update management - SUM-1: Security update qualification - SUM-4: Security update delivery - SUM-5: Timely delivery of security patches	3
Poland	<u>Shared Services - Red Team</u> 46 Francuska-A4 Business Park 40-028, Katowice	Practice 5: Security Verification and Validation Testing - SVV-3: Vulnerability testing - SVV-4: Penetration testing - SVV-5: Independence of testers	3

**Head of Certification Body for Certification of Management Processes**

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Further information and validity of certification can be found on <https://www.certipedia.com/fs-products>.