Certificate

Cyber Security Management

CS Management (TÜV Rheinland)
IEC 62443-4-1:2018 (Edition 1.0)
CSM 100, Maturity Level 4: Improving

Certificate No.	**968/CSM 100.07/23**
Certified Company & Location	Rockwell Automation, Inc. Office of Product Safety and Security (OPSS) 1201 South Second Street Milwaukee, Wisconsin 53204 USA **for further regional locations see appendix**
Scope of Certification	**Rockwell Automation Product Lifecycle (RAPL)** **Centralized Group Certification** **related to IEC 62443-4-1:2018 (Edition 1.0)** **Security for Industrial Automation and Control Systems** **Part 4-1: Secure Product Development Lifecycle Requirements** **Maturity Level 4: Improving** The certified company has successfully demonstrated during an audit process that the **"Rockwell Automation Product Lifecycle (RAPL)"** which covers the development and maintenance process of components has been implemented, applied and approved according to IEC 62443-4-1. This Certification only refers to the listed company locations and their involved departments, as listed in attached **Certificate Appendix** . This certificate does not imply approval or certification for specific security related developments of products.
Validity	This certificate is valid until 2025-11-29

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Certification Body Safety & Security for Automation & Grid
Further information referring to the scope of certification, see http://www.tuvasi.com

www.fs-products.com
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Certificate Appendix No. 968/CSM 100.07/23, revision 2023-01-26

This appendix forms integral part of Certificate No. 968/CSM 100.07/23, 2023-01-26

Certificate Holder, Legal Responsibility	**Rockwell Automation, Inc. 1201 South Second Street Milwaukee, Wisconsin, 53204 USA**
Overall CSM Responsibility	Office of Product Safety and Security (OPSS)

Details and limitations regarding the Local Scope of Certification:
This CSM Certification only refers to company locations, as listed below, and their involved departments, which comply with the organizational CSM requirements for the considered Scope of Certification.

Details and limitations regarding the Technical Scope of Certification:
This CSM Certification is related for Industrial Automation and Control Systems, **limited to** the security development lifecycle management system, covering the development of security related components and systems according to **IEC 62443-4-1**.

Local Scope of Certification	**Technical Scope of Certification**
Office of Product Safety and Security (OPSS) OPSS Headquater: 1201 South Second Street Milwaukee, 53204 Wisconsin, USA	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 6: Management of security-related issues Practice 7: Security Update Management
Business Unit: Sensing, Safety & Industrial Controls (SIC) SIC Headquater: 1201 South Second Street Milwaukee, 53204 Wisconsin, USA	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 7: Security Update Management
Development Team Software and Control Segment 1 Allen-Bradley Drive Mayfield Heights 44124, Ohio, USA	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 7: Security Update Management
Development Team Intelligent Devices Segment 2 Corporation Road #06-05/10 Corporation Place, Singapore	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 7: Security Update Management

Local Scope of Certification	Technical Scope of Certification
Shared Services Information Technology Team 1201 South Second Street Milwaukee 53204, Wisconsin, USA	Practice 1: Security Management - SM-7: Development environment security - SM-8: Controls for private keys
Shared Services Human Resources 1201 South Second Street Milwaukee 53204, Wisconsin, USA	Practice 1: Security Management - SM-4: Security expertise
Shared Services Product and Supplier Quality Team 1201 South Second Street Milwaukee 53204, Wisconsin, USA	Practice 1: Security Management - SM-9: Security requirements for externally provided components - SM-10: Custom developed components from third-party suppliers
Shared Services Strategic Sourcing Team 1201 South Second Street Milwaukee 53204, Wisconsin, USA	Practice 1: Security Management - SM-9: Security requirements for externally provided components - SM-10: Custom developed components from third-party suppliers
Shared Services Technical Communications 1 Allen-Bradley Drive Mayfield Heights 44124, Ohio, USA	Practice 7: Security update management - SUM-2: Security update documentation - SUM-3: Dependent component or operating system security update documentation Practice 8: Security User Documentation - SG-1: Product defense in depth - SG-2: Defense in depth measures expected in the environment - SG-3: Security hardening guidelines - SG-4: Secure disposal guidelines - SG-5: Secure Operation guidelines - SG-6: Account management guidelines - SG-7: Documentation review
Shared Services Security Incident Response 1 Allen-Bradley Drive Mayfield Heights 44124, Ohio, USA	Practice 1: Security Management - SM-11: Assessing and Addressing security-related issues Practice 6: Management of security-related issues - DM-1: Receiving notifications of security-related issues - DM-2: Reviewing security-related issues - DM-3: Assessing security-related issues - DM-4: Addressing security-related issues - DM-5: Disclosing security-related issues - DM-6: Periodic review of security defect management practice Practice 7: Security update management - SUM-1: Security update qualification - SUM-4: Security update delivery - SUM-5: Timely delivery of security patches

Local Scope of Certification	Technical Scope of Certification
Shared Services Red Team 46 Francuska-A4 Business Park 40-028, Katowice, Poland	Practice 5: Security Verification and Validation Testing - SVV-3: Vulnerability testing - SVV-4: Penetration testing - SVV-5: Independence of testers
Development Team Software and Control Segment Intelligent Devices Segment 46 Francuska Business Park 40-028, Katowice, Poland	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 7: Security Update Management
Development Team Intelligent Devices Segment 6400 West Enterprise Drive Mequon 53092, Wisconsin, USA	Practice 1: Security Management Practice 2: Specification of Security Requirements Practice 3: Security by Design Practice 4: Secure Implementation Practice 5: Security Verification and Validation Testing Practice 7: Security Update Management

Head of Certification Body for Certification of Management Processes

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Further information and validity of certification can be found on https://www.certipedia.com/fs-products.